# Foldable containers and dependent types 

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#### Abstract

Functional programs using foldable containers need reasoning tools as they are not equipped with laws. Moreover we want to allow any finite type to be foldable as well.

Folding over all the values of a finite type is particularly interesting in a dependent type theory which features $\Pi$ and $\Sigma$ types.

Our solution uses parametricity to show how foldable containers relate to monoid homomorphisms. Our development is implemented and verified within the type theory of Agda which is compatible with parametricity.


## Categories and Subject Descriptors D.3.3 [Language Constructs and Features]

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## 1. Introduction

Folds (or catamorphisms) are a fundamental part of the structure of functional programs. Intuitively, they provide a way to summarize or reduce a data container down to a single value.

A large body of the different ways to reduce a data container is captured by the notion of monoid. Monoids arise quite naturally and are ubiquitous in programming, especially in functional programming. Monoid is one of the standard type classes in Haskell. We recall here its definition ${ }^{1}$ :

```
class Monoid m where
    \epsilon :: m
    (\oplus) :: m -> m -> m
    {- identity: }\epsilon\oplus\textrm{x}==\textrm{x}\oplus\epsilon==\textrm{x}-
    {- associativity: x \oplus (y \oplus z) == (x }\oplus\textrm{y})\oplus\textrm{z
    mconcat :: [m] }->\textrm{m
    mconcat = foldr ( }\oplus\mathrm{ ) €
```

The function mconcat then takes any list and reduce it down to a single value using the monoid operations. While lists are

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extensively used in functional programming they are hardly the only data container available. Reducing another data container can be achieved by first producing a list and then reducing it. However one might wish to directly reduce the container and thus provide a specialised mconcat/foldr function. This generalisation has been made in the Foldable type class ${ }^{2}$, which is displayed below:

```
class Foldable t where
    foldMap :: Monoid m }=>(\textrm{a}->\textrm{m})->\textrm{t a}->\textrm{m
    foldr : : ( a }->\textrm{b}->\textrm{b})->\textrm{b}->\textrm{t}\textrm{a}->\textrm{b
```

The minimal complete definition is to define one of these functions, as they can be implemented in terms of each other. The foldMap function almost has the same type as mconcat. The difference is that the elements in the container do not have to form a monoid, only that it is possible to map them to a monoid.

In contrast with the Monoid class, there are no laws associated with the Foldable class. This might be discouraging but as we will see it is still possible to reason about programs that uses the Foldable class. For example, one of potential laws for foldr is that its application should have the same effect as producing a list and applying List.foldr on it. But it can be proven that any type correct term will satisfy this law by parametricity. Further examples highlighting the use of parametricity to prove similar results will be shown using the language AGDA [12].

One of the results following from parametricity is how a monoid homomorphism distributes over a fold. Here, a monoid homomorphism is represented by a newtype MonoidHom which wraps a function between two monoids respecting the monoid structure. The property is here presented as a property in the style of QuickCheck [4].

```
newtype MonoidHom m n =
    MonoidHom { hom :: m }->\textrm{n}
    {- hom \epsilon = \epsilon-}
    {-hom (x }\oplus\textrm{y})=\operatorname{hom}\textrm{x}\oplus\operatorname{hom y - }
distHomProp :: (Monoid m, Monoid n, Foldable t, Eq n)
    MonoidHom m n }->(\textrm{a}->\textrm{m})->\textrm{t}\textrm{a}->\mathrm{ Bool
distHomProp (MonoidHom h) f t
    = h (foldMap f t) == foldMap (h of) t
```

A mathematical example of this property is to pick the exponentiation function as the monoid homomorphism from $(\mathbb{N}, 0,+)$ to ( $\mathbb{N}, 1, *$ ). Another example is to pick boolean negation $(\neg)$ as the monoid homomorphism from $(\{0,1\}, 1, \wedge)$ to $(\{0,1\}, 0, \vee)$ which follows by the De Morgan law:

$$
b^{\left(\sum_{a \in A} g(a)\right)} \equiv \prod_{a \in A} b^{g(a)} \quad \neg\left(\bigvee_{a \in A} g(a)\right) \equiv \bigwedge_{a \in A} \neg g(a)
$$

[^1]
## Contributions:

- We describe exploration functions, a class of folds which arise from the use of foldMap, we show how they can be combined and transformed to achieve feature-rich explorations in a modular way as discussed in Section 2.
- We make a precise account (Section 3) of the parametricity results (a.k.a. free-theorems) of these exploration functions. These results automatically apply to any well typed instance of the type class Foldable because of the polymorphic type of foldMap. We show how monoid homomorphisms distribute over explorations among other algebraic properties.
- We show how dependent types enable to explore not only values, but also types (Section 4). In particular we show how some explorations exactly correspond to $\Pi$ and $\Sigma$ types.
- We describe a class of summation functions (a particular instance of fold) which we call adequate. These adequate summation functions can be used to compute uniform discrete probabilities and reason about probabilistic functions (Section 5.2). In particular we show how adequacy together with type equivalences can lead to elegant proofs (Section 5).
- For the sake of readability, we display only code fragments in the paper. However, a self-contained AGDA development is available online [5, 6]. Moreover, the results (those not involving dependent types), should hold in Haskell using foldMap ${ }^{3}$.

Notations: In the remaining part of the paper, our definitions are presented in AgDa [12] notation. With $\star$ we denote the type of types. The function space is presented as $A \rightarrow B$, while the dependent function space is presented as $(\mathrm{x}: \mathrm{A}) \rightarrow \mathrm{B} x$, $\forall(\mathrm{x}: \mathrm{A}) \rightarrow \mathrm{B} \mathrm{x}$, or $\Pi \mathrm{A}$ B. An implicit parameter, can be introduced via $\forall\{\mathrm{x}: \mathrm{A}\} \rightarrow \mathrm{B}$, and can be omitted at a call site if its value can be uniquely inferred from the context. There are shortcuts for introducing multiple arguments at once or for omitting a type annotation, as in $\forall\{A\}\{i \operatorname{j}: \mathrm{A}\} \mathrm{x} \rightarrow \mathrm{e}$. We will use mixfix declarations, such as $\uplus_{-}$, where underscores denote where arguments go. AGDA is strict about whitespace, for instance explore $\uplus$ is a single identifier because it contains no space.

Core types: As a tool AGDA comes with no predefined concepts other than types and functions, therefore everything has to be defined. In particular there is no specific sort for propositions: everything is in $\star$. The empty type is denoted as $\mathbb{O}$ and used to represent falsity. The type family $\neg: \star \rightarrow \star$ is the logical negation, $\neg \mathrm{A}$ is defined as $\mathrm{A} \rightarrow \mathbb{O}$. The type $\mathbb{1}$ has one value namely $0_{1}$ and it is used to represent trivial truth. The type $\mathbb{Q}$ has two values $\left(0_{2}\right.$ and $1_{2}$ ), and it is used both to denote a single bit of information and as a Boolean value where $0_{2}$ denotes false and $1_{2}$ denotes true. The type family $\checkmark: \mathbb{Q} \rightarrow \star$ maps $0_{2}$ to $\mathbb{O}$ and $1_{2}$ to $\mathbb{1}$. We use the type Fin n which inductively defines the natural numbers strictly below n . We mainly use this type as a representative for finite types with $n$ values. The type $-_{-} \uplus_{-}: \star \rightarrow \star \rightarrow \star$ corresponds to the Haskell type Either, the constructors are inl and inr. We use $\_\uplus$ - both as a constructive disjunction and as a disjoint union. The type family Dec : $\star \rightarrow \star$ is the type of decidable types, Dec A is equivalent to $\mathrm{A} \uplus \neg \mathrm{A}$. The type family ${ }_{-} \equiv_{-}$is the type of propositional equality, also called the identity type. AgDA reserves the usual equality symbol = for definitions; we apply this convention to our mathematical statements as well.

[^2]A note on $\Sigma$-types and type equivalences: In type theory $\Sigma \mathrm{AB}$ is used to denote a dependent sum (sometimes called a dependent pair). Here $A$ is a type and $B$ is a dependent type over $A$ (hence $B$ has type $\mathrm{A} \rightarrow \star$ ). These pairs can be built using the , , constructor $^{\text {a }}$ (_, has type ( $\mathrm{x}: \mathrm{A}) \rightarrow \mathrm{Bx} \rightarrow \Sigma \mathrm{A}$ B). Moreover, pairs come with two projection functions $f$ st $: \Sigma \mathrm{AB} \rightarrow \mathrm{A}$ and snd : $(\mathrm{p}: \Sigma$ $A B) \rightarrow B$ (fst $p$ ). The type $A \simeq B$ is used to denote equivalences between types A and B. To be precise we use the half-adjoint equivalences. An equivalence is therefore made of two functions, $\mathrm{f}: \mathrm{A} \rightarrow \mathrm{B}$ and $\mathrm{g}: \mathrm{B} \rightarrow \mathrm{A}$, two homotopies $\epsilon: \forall \mathrm{x} \rightarrow \mathrm{f}(\mathrm{gx}) \equiv \mathrm{x}$ and $\eta: \forall \mathrm{x} \rightarrow \mathrm{g}(\mathrm{f} \mathbf{x}) \equiv \mathrm{x}$, and final homotopy for coherence: $\tau$ : $\forall \mathbf{x} \rightarrow \mathbf{f}(\eta \mathbf{x}) \equiv \epsilon(\mathbf{f x})$. The type $\simeq_{\sim}$ is an equivalence relation for types.
Remarks on function extensionality and univalence: A Type Theory is said to support function extensionality when functions equal at every point are considered equal. Namely when the following statement is provable: $\forall \mathrm{fg} \rightarrow(\forall \mathrm{x} \rightarrow \mathrm{fx} \equiv \mathrm{gx}) \rightarrow \mathrm{f} \equiv$ g. Pure Intensional Type Theory does not have a proof of function extensionality, even in the case where both domain and codomain are finite. Indeed in pure Intensional Type Theory a close proof of the identity type must be the reflexivity witness, hence only functions definitionally equal can be shown to be propositionally equal.

One promising solution to the problem of function extensionality in a constructive setting is homotopy type theory [14] which has generated much interest in recent years. This theory includes the univalence axiom, which states that homotopy equivalence of types is homotopically equivalent to identity of types: as a consequence we get that equality of functions is extensional equality. In some proofs, we assume to be working in a homotopy type theory setting were function extensionality and univalence hold. We made this choice for convenience reasons as most of our proofs were first written without using univalence. In our AGDA development, we use the flag --without-K which disable the K-rule during pattern-matching. As far as we can tell the only modules using the K-rule are only using it for the set of natural numbers (Fin and Vec ). This should be resolved as soon as --without-K becomes smarter about types which are sets.

## 2. Folds and explorations

In order to be able to work more conveniently with parametricity later on, we focus here only on foldMap after it has already been applied to a container. Since we extensively use this type we give it a name, Explore which is expressed in HASKELL as:

```
type Explore \(\mathrm{a}=\forall \mathrm{m}\). Monoid \(\mathrm{m} \Rightarrow(\mathrm{a} \rightarrow \mathrm{m}) \rightarrow \mathrm{m}\)
toExplore : : \(\forall\) a t . Foldable \(\mathrm{t} \Rightarrow \mathrm{t} \mathrm{a} \rightarrow\) Explore a
toExplore \(\mathrm{t} f=\mathrm{foldMap} \mathrm{f} \mathrm{t}\)
```

The AGDA version of Explore is given below, instead of a type class constraint, the monoid operations are passed explicitly:
Definition 1. An exploration function for a type $A$ is given a type $M$, a value $\epsilon$ of type $M$, a function $\oplus_{-}$- of type $M \rightarrow M \rightarrow M$, and function $f$ of type $A \rightarrow M$. The exploration function finally yields a result of type $M$ :

```
Explore : \(\star \rightarrow \star\)
Explore \(A=\{M: \star\}(\epsilon: M)\left(\_\oplus_{-}: M \rightarrow M \rightarrow M\right)\)
    \(\rightarrow(A \rightarrow M) \rightarrow M\)
```

For any type A, an exploration function is given a default result $\epsilon$, a binary operator $\oplus_{-}$and a function f realising the body of the big operator. The function $f$ is then called on every value
of the type to be explored. All results are combined with the operator $\oplus_{-}$. If there are no values to explore the default result $\epsilon$ is returned. One viewpoint is that the task of an exploration function is thus to transform any small operator $\oplus_{-}$into the corresponding big operator $\bigoplus$ of type $(A \rightarrow M) \rightarrow M$. For instance, if explore is an exploration function for a type $A$, then explore $0_{+}+{ }_{-}$is $\sum$ and explore $1_{-} *_{-}$is $\Pi$, where $0,1_{-}+_{-}$and ${ }_{-} *_{-}$are defined on the type $\mathbb{N}$.
A continuation monad with environment: The type of exploration can be viewed as a continuation monad $((\mathrm{A} \rightarrow \mathrm{M}) \rightarrow \mathrm{M})$, with two reader monad transformers giving access to $\epsilon$ and $\oplus_{-}$.

Monoid laws: Note that the type does not specify that the exploration will be over a monoid. The laws are not given, only the operations. When proving properties about explorations, the monoid laws will have to be assumed as well. Not having to provide the monoid laws makes it easier to write transformations of exploration functions.
Finiteness: Given AgDA's type discipline, the type Explore A enforces that any exploration function will only explore a finite number of values of the type A. This is enforced by AGDA functions being total (strongly normalizing and exhaustively defined) and by parametricity $[2,13,16]$ : since the exploration function knows nothing about the type M it must use what is given to it.
Exhaustiveness: Some exploration functions can be defined to explore all the values of a type A. These exploration functions are then said to be exhaustive. Originally, the name "exploration" was coined because these functions were designed to systematically examine every possible value of the type. The exhaustiveness of an exploration implies the finiteness of A .

### 2.1 Working with exploration functions

Exploration functions can be obtained by folding over data structures such as lists or trees. However, one can also define exploration functions directly. This corresponds to the polymorphic encoding for binary trees. In this section we show how to build, combine, transform, and reason directly about these. Below exploreD6 is an example of an exploration function for D6, the type of six sided dice:

```
data D6 : \star where
    \odot & : O % %: D6
exploreD6 : Explore D6
exploreD6 \epsilon _\oplus_ f
```



Building exploration functions: In order to easily define new exploration functions we provide three building blocks inspired by binary trees. These three combinators are defined for any type A and correspond to the constructors empty, leaf, and fork respectively. Figure 1 shows the function empty-explore, an exploration function which does not explore anything and just returns the default value $\epsilon$. The function point-explore takes a value x of type A and defines an exploration function which explores only this point x using the given exploration body. Finally the function merge-explore takes two exploration functions and combines them using the received binary operator $\oplus_{-}$.

For exhaustively exploring finite types, however, we have more specialised combinators. Generally, finite types are a combination of sums and products, therefore exploration combinators are provided for those. As base cases we have exploration functions for types such as $\mathbb{O}, \mathbb{1}$ and $\mathcal{Z}$. For sum types $A \uplus B$, the exploration explore $\uplus e^{A} e^{B} \epsilon \oplus_{-} f$ combines the two results given

```
empty-explore : \(\forall\{\mathbf{A}\} \rightarrow\) Explore A
empty-explore \(\epsilon \oplus_{-} f=\epsilon\)
point-explore : \(\forall\{\mathrm{A}\} \rightarrow \mathrm{A} \rightarrow\) Explore A
point-explore \(x \in \oplus_{-} f=f \mathrm{x}\)
merge-explore \(: \forall\{\mathbf{A}\} \rightarrow\) Explore \(\mathrm{A} \rightarrow\) Explore A
    \(\rightarrow\) Explore A
merge-explore \(e_{0} e_{1} \epsilon \oplus_{-} f\)
    \(=\left(\begin{array}{lll}e_{0} & \epsilon & \oplus_{-}\end{array}\right) \oplus\left(\begin{array}{lll}e_{1} & \epsilon & \oplus\end{array}\right)\)
explore \(\uplus: \forall\{A B\} \rightarrow\) Explore A \(\rightarrow\) Explore B
        \(\rightarrow\) Explore \((A \uplus B)\)
explore \(\uplus e^{A} e^{B} \epsilon \oplus_{-} f\)
    \(=\left(e^{A} \epsilon_{-} \oplus_{-}(f \circ \operatorname{inl})\right) \oplus\left(e^{B} \epsilon_{-\oplus_{-}}(f \circ\right.\) inr \(\left.)\right)\)
explore \(\times: \forall\{A B\} \rightarrow\) Explore \(A \rightarrow\) Explore B
    \(\rightarrow\) Explore \((A \times B)\)
explore \(\times e^{A} e^{B} \epsilon \_\oplus_{\text {_ }} f\)
    \(=\mathrm{e}^{\mathrm{A}} \epsilon_{-\oplus_{-}\left(\lambda \mathrm{a} \rightarrow \mathrm{e}^{\mathrm{B}} \epsilon_{-} \oplus_{-}(\lambda \mathrm{b} \rightarrow \mathrm{f}(\mathrm{a}, \mathrm{b}))\right)}\)
explore \(\mathbb{O}\) : Explore \(\mathbb{O}\)
explore \(\mathbb{O}=\) empty-explore
explore \(\mathbb{1}\) : Explore \(\mathbb{1}\)
explore \(\mathbb{1}\) = point-explore \(0_{1}\)
explore \(\mathcal{Z}\) : Explore \(\mathbb{Z}\)
explore \(\mathcal{Z}\) = merge-explore (point-explore \(0_{2}\) )
(point-explore \(1_{2}\) )
```

Figure 1. Exploration functions
by exploring the function $f$ specialised to types $A$ and $B$ using inl and inr - the injections for the type $\uplus_{-}$. The two results are then combined using $\oplus_{\ldots}$. For Cartesian products $A \times B$, the exploration explore $\times e^{A} \quad e^{B} \quad \oplus_{-} f$ nests the exploration of $B$ into the function exploring $A$. Note how this combinator is independent of the operator $\oplus_{-}$. Support for dependent pairs and functions is detailed in Section 4.

$$
\sum_{x, y \in A \times B} f(x, y) \equiv \sum_{x \in A} \sum_{y \in B} f(x, y)
$$

Derived big operators: In Figure 2 we recall some standard big operators. These are derived from any exploration function by choosing the appropriate monoid structure. Sums and products are defined using the monoids $\left(\mathbb{N}, 0,{ }_{-+}\right)$and $(\mathbb{N}, 1$, _*_ ) as mentionned earlier. From a summation function we derive a function count which is counting the occurrences of a given predicate. Summing (with sum or count) using a constant function 1 yields the size of the exploration. Finally the functions all and any test a given predicate to tell whether it holds for all the explored values or one of the explored values, respectively.

### 2.2 Exploration transformers

In this section we describe a series of transformations on exploration functions. These tools provide ways to enhance explorations in a modular way. We use the term exploration transformer for the operations which map exploration functions to exploration functions.

A prototypical program involving an exploration function is the brute force exhaustive search. This could be the search to inverse

```
module BigOps \(\{\mathbf{A}\}\left(\operatorname{explore}^{\text {A }}\right.\) : Explore A) where
    sum \(:(\mathrm{A} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}\)
    sum \(=\) explore \(^{\mathrm{A}} 0\) _ \(_{-}\)
    product \(:(\mathbf{A} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}\)
    product \(=\) explore \(^{\mathrm{A}} 1\) _*_
    count \(:(\mathrm{A} \rightarrow \mathcal{Z}) \rightarrow \mathbb{N}\)
    count \(f=\operatorname{sum}(\mathbb{D} \triangleright \mathbb{N} \circ f)\)
        \(\left\{-2 \triangleright \mathbb{N}\right.\) maps \(0_{2}\) to 0 and \(1_{2}\) to \(\left.1-\right\}\)
    size : \(\mathbb{N}\)
    size \(=\) count (const \(1_{2}\) )
    all \(:(\mathrm{A} \rightarrow \mathbb{2}) \rightarrow \mathbb{Z}\)
    all \(=\operatorname{explore}^{\mathrm{A}} 1_{2} \wedge_{-} \wedge_{-}\)
    any \(:(\mathrm{A} \rightarrow \mathcal{Z}) \rightarrow \mathcal{Z}\)
    any \(=\operatorname{explore}^{\mathrm{A}} \mathrm{O}_{2}-\mathrm{V}_{-}\)
    list : List A
    list \(=\operatorname{explore}^{\mathrm{A}}\) [] _++_ [_]
    tree : Tree A
    tree \(=\operatorname{explore}^{A}\) empty fork leaf
    first : Maybe A
    first \(=\) explore \(^{\mathrm{A}}\) nothing _||? _ just
        where
            \({ }_{-}| | ?_{\text {_ }}:\) Maybe \(\mathrm{A} \rightarrow\) Maybe \(\mathrm{A} \rightarrow\) Maybe A
            nothing \(|\mid ? m y=m y\)
            (just x ) ||? \(\quad=\) just x
```

Figure 2. Derived big operators
a function, such as a hashing function. Sometimes the domain (message space) is relatively small and searching it can be used to gather information. Here let us suppose a type A together with an exploration function explore ${ }^{A}$, a type $B$ together with an equality test ( $===_{-}$has type $B \rightarrow B \rightarrow \mathcal{D}$ ), and a function $H: A \rightarrow B$. In practice one might think of the function H as being hard to inverse. The following program naively inverts $H$ by exploring all possible messages, and returning the list of all messages which maps to the input digest:

```
H}\mp@subsup{}{}{-1}\mathrm{ -list : B }->\mathrm{ List A
H}\mp@subsup{}{-1}{-1
    if H a == b then [ a ] else []
```

While straightforward, the exploration in $\mathrm{H}^{-1}$-list shows a lack of modularity: indeed the data structure (here a list) for the result is entangled with the filtering.

Explorations can be chained in such a way that each explored value of type A can yield a nested exploration on a type B. The resulting exploration aggregates all the spawned explorations and yields results of type $B$ :

```
>=_ : Explore A }->(\textrm{A}->\mathrm{ Explore B ) }->\mathrm{ Explore B
( ( }\mp@subsup{\textrm{A}}{}{\prime}>>=\mp@subsup{e}{}{B})\epsilon_\mp@subsup{\oplus}{-}{}f=\mp@subsup{e}{}{A}\quad\epsilon_\mp@subsup{\oplus}{-}{}\lambdax
    e
```

Explorations are monadic: The suggestive name ( $\gg==_{-}$) highlights that Explore forms a monad, where point-explore is the unit (or return). This monadic structure comes as no surprise once we recall that the type $(A \rightarrow M) \rightarrow M$ is the continuation monad.

The function gfilter-explore (for generic filter) discards undesirable values and selects what parts to retain from the desirable ones. Using _>>=_ filtering is nicely expressed by chaining the exploration on the type A with either empty-explore or point-explore, depending on the explored value x. By lifting the given function $f$ to a predicate, the function filter-explore uses gfilter-explore.

```
gfilter-explore : (f : A }->\mathrm{ Maybe B)
        Explore A }->\mathrm{ Explore B
gfilter-explore f e}\mp@subsup{e}{}{A}=\mp@subsup{e}{}{A}>>=\lambdax->\operatorname{case (fx) of \lambda
        { nothing }->\mathrm{ empty-explore
        (just y) }->\mathrm{ point-explore y }
filter-explore :(p:A }->\mathcal{Z}
        Oxplore A }->\mathrm{ Explore A
filter-explore p = gfilter-explore ( }\lambda\textrm{x}
    if p x then just x else nothing)
```

The previous example, inverting a function H , can be built using filter-explore ( $\lambda \mathrm{a} \rightarrow \mathrm{H}$ a $==\mathrm{b}$ ); the result is then an exploration from which one can get a list (using the list monoid) or the first matching values (using a monoid for Maybe).

A rather trivial exploration transformer is explore-backward, which flips the arguments of the given small operator. With this function we emphasis how monoid transformers (such as flip ${ }^{4}$ ) yield exploration transformers.

```
explore-backward : Explore A }\mp@subsup{->}{\textrm{A}}{\mathrm{ Explore A}
```



As a last example of a transformer we consider the monoid of endomorphisms featuring the identity function as the neutral element and function composition as the multiplication operation. Exploring with the monoid of endomorphisms expects a function body that will turn values of type $A$ into functions of type $M \rightarrow M$. The body composes the original small operator $\oplus_{-}$with the original body $f$. We finally pass in the default value $\epsilon$ to the resulting big composition. When $\left(\epsilon, \oplus_{-}\right)$is a monoid, this transformation computes to the same result as the original exploration. Its utility lies in the fact that function composition has an associative computational content which will force all the calls to _ $\oplus_{-}$to be associated to the right, finally ending with a single $\epsilon$. This technique, known as difference lists, has been used before and is part of the standard toolbox of functional programmers. Its original motivation was to improve the performance, but it is also useful for reasoning since it gives associativity for free. A proof of this technique has been given in [15] and it is our Corollary 2. Notice that this technique is nicely captured by the following exploration transformer:

```
explore-endo : Explore A }->\mathrm{ Explore A
explore-endo e }\mp@subsup{}{}{A
```


## 3. Relational Parametricity

Since the type of foldMap is polymorphic it satisfies some theorems for free [16]. Indeed some programming languages have been shown to enjoy a so called abstraction theorem [2, 13, 16]. The theory behind Haskell and Agda are known to enjoy this ab-

[^3]```
\llbracketExplore\rrbracket: Explore A }->\mathrm{ Explore A }->
\Explore\rrbrackete e' = (M M' : \star )(\llbracketM\rrbracket: M }->\mp@subsup{\textrm{M}}{}{\prime}->\boldsymbol{\
    (\epsilon:M)(\epsilon' : M')( }\mp@subsup{\epsilon}{r}{}:\llbracketM\rrbracket\epsilon\mp@subsup{\epsilon}{}{\prime}
    (_\oplus_ : M }->\textrm{M}->\textrm{M})(\mp@subsup{_}{-}{\prime}\mp@subsup{_}{-}{\prime}:\mp@subsup{M}{}{\prime}->\mp@subsup{M}{}{\prime}->\mp@subsup{M}{}{\prime}
    (\oplusr : 
            ->\llbracketM\rrbracket(x }\oplus\textrm{y})(\mp@subsup{\textrm{x}}{}{\prime}\oplus\mp@subsup{\textrm{y}}{}{\prime})
    (f : A }->\textrm{M})(\textrm{f},: A -> M')
    (\mp@subsup{\mathbf{f}}{r}{}:\forall\mathbf{x}->\llbracketM\rrbracket(\mathbf{f}\mathbf{x})(\mathbf{f},\mathbf{x}))
    ->\llbracketM\rrbracket(e \epsilon _ \oplus_ f) (e' \epsilon'_ _ }\mp@subsup{}{}{\prime
```

Figure 3. Parametricity relation for Explore
straction theorem. The statement for such free-theorems are mechanically derived from types. Any well-typed program enjoys the free-theorem arising from its type. While they are uninformative for monomorphic types they are interesting for polymorphic types. Usually, these theorems are stated using pen and paper proofs for Haskell programs but if we move to a dependently typed language, such as AGDA, the types, programs, statements and proofs can inhabit a common system. Although these free-theorems are mechanical they are currently not automated by the system. In our online development we provide and use a library which helps streamline this process, we however here present a more syntactic approach.

The high level overview is that each type $\mathrm{T}: \star$ will induce a (binary) relation, which we will denote by oxford brackets 【T】 $: \mathrm{T} \rightarrow \mathrm{T} \rightarrow \star$. The (binary) free-theorem, also known as the fundamental theorem, is that this relation is reflexive, i.e for all terms $t: T$ there is a proof term $\llbracket t \rrbracket: \llbracket T \rrbracket t t$. If parametricity was internalised then this proof would come for free, but here we instead need to prove it for each instance. The $\llbracket$ - $\rrbracket$ relation is defined by induction on the type. For example, functions are in the relation if they map related inputs to related outputs:

$$
\begin{aligned}
& \llbracket A \rightarrow B \rrbracket:(A \rightarrow B) \rightarrow(A \rightarrow B) \rightarrow \star \\
& \llbracket A \rightarrow B \rrbracket f f^{\prime}=(x \times x: A)
\end{aligned}
$$

Since polymorphism is expressed using a universe type $\star$, we need to know what the relation $\llbracket \star \rrbracket$ is. Following [2] we pick $\llbracket \star \rrbracket$ to be the type of all relations. Intuitively $\llbracket \star \rrbracket$ should at least contain the identity relation since it corresponds to the generated relation for basics types such as $\mathcal{2}$. The main reason to include all relations is to strengthen the parametricity results. Indeed when using the parametricity result of a polymorphic function one get to choose freely the relation which is quite useful.
$\llbracket \star \rrbracket: \star \rightarrow \star \rightarrow \star$
$\llbracket \star \rrbracket \mathrm{A} \quad \mathrm{B}=\mathrm{A} \rightarrow \mathrm{B} \rightarrow \star$
Furthermore we need to extend the relation on functions to dependent functions in order to express the type of polymorphic functions. We follow [2] again and the tricky part in defining the relation for a dependent function such as $(\mathrm{x}: \mathrm{A}) \rightarrow \mathrm{B}$ x is that the type of $B$ is $B: A \rightarrow \star$. The relation on B must have the type $\llbracket A \rightarrow \star \rrbracket B B$, namely $\left(x x^{\prime}: A\right) \rightarrow \llbracket A \rrbracket x x^{\prime} \rightarrow B x \rightarrow B x^{\prime} \rightarrow$ $\star$. This means that the relation on $B$ is indexed on the two $A$ which are known to be related by $\llbracket \mathrm{A} \rrbracket$.

$$
\begin{aligned}
& \llbracket \Pi A B \rrbracket:((\mathrm{x}: \mathrm{A}) \rightarrow \mathrm{B} \mathbf{x}) \rightarrow((\mathrm{x}: \mathrm{A}) \rightarrow \mathrm{B} \mathrm{x}) \rightarrow \star \\
& \llbracket \Pi \mathrm{AB} \rrbracket \mathrm{f} \mathrm{f}^{\prime}=\left(\mathrm{x} \mathrm{x}^{\prime}, \mathrm{A}\right) \\
& \quad \rightarrow\left(\mathrm{x}_{r}: \llbracket \mathrm{A} \rrbracket \mathrm{x} \mathrm{x}^{\prime}\right) \rightarrow \llbracket \mathrm{B} \rrbracket \mathrm{x} \mathrm{x}^{\prime} \mathrm{x}_{r}(\mathrm{f} \mathbf{x})\left(\mathrm{f}^{\prime} \mathrm{x}^{\prime}\right)
\end{aligned}
$$

Now all the tools are available to derive what the relation is for the Explore type. This relation is defined in Figure 3, and while it looks daunting it is fairly straightforward to use. The trick lies in that it is possible to pick any relation for $\llbracket M \rrbracket$. For example we use it to prove that a monoid homomorphism distributes over explore.
Theorem 1. For any type A, exploration function $e^{A}$ : Explore A, two monoids: $\left(M, \epsilon, \oplus_{-}\right)$and $\left(N, \iota, \otimes_{-}\right)$, we have a monoid homomorphism $h$ from $M$ to $N$, and a function $f: A \rightarrow M$, then $h\left(e^{A} \epsilon_{-} \oplus_{-} f\right) \equiv e^{A} \iota_{-} \otimes_{-}(h \circ f)$

Proof. By parametricity of $\mathrm{e}^{\mathrm{A}}$ we pick $\llbracket \mathrm{M} \rrbracket \mathrm{x} \mathrm{y}$ to be $\mathrm{h} \mathrm{x} \equiv \mathrm{y}$. We need to prove: $\mathrm{h} \epsilon \equiv \iota$ and for all $\mathrm{x}, \mathrm{x}$ ', y and $\mathrm{y}^{\prime}$ such that $\mathrm{h} \equiv \mathrm{x}$, $h \mathrm{y} \equiv \mathrm{y}$ we have $\mathrm{h}(\mathrm{x} \oplus \mathrm{y}) \equiv \mathrm{x}^{\prime} \otimes \mathrm{y}^{\prime}$. Both of these requirements follow from the fact that $h$ is a monoid homomorphism. The final requirement is that for all $\mathrm{x}, \mathrm{h}(\mathrm{fx}) \equiv \mathrm{h}(\mathrm{fx})$ holds, which is trivial.

Corollary 1. For any type A, exploration function $e^{A}$ : Explore A, function $f: A \rightarrow \mathbb{N}$ and constant $k: \mathbb{N}$, we have $k * \operatorname{sum}^{A} f$ $\equiv \operatorname{sum} \mathrm{e}^{A}(\lambda x \rightarrow k * f x)$.

Proof. By Theorem 1 and the fact that ( $\__{-} \mathrm{k}_{\mathrm{k}}$ ) is a monoid homo$\operatorname{morphism}$, since $\mathrm{k} * 0 \equiv 0$ and $\mathrm{k} *(\mathrm{x}+\mathrm{y}) \equiv \mathrm{k} * \mathrm{x}+\mathrm{k} * \mathrm{y}$.

Theorem 2. For any type A, exploration function $e^{A}$ : Explore A, a monoid $\left(M, \epsilon, \oplus_{-}\right)$equipped with a preorder $\leq_{-}$such that $-\oplus_{-}$is monotonic, two functions $f, g: A \rightarrow M$ such that for all $x$ $f x \leq g x$, we have $e^{A} \epsilon_{-} \oplus_{-} f \leq e^{A} \epsilon_{-} \oplus_{-} g$.

Proof. By parametricity of $\mathrm{e}^{\mathrm{A}}$ we pick $\llbracket \mathrm{M} \rrbracket$ to be $\leq_{-}$, all the requirements follow from assumptions.

We remark that nowhere in our AGDA development we postulate these parametricity results. Instead, for each exploration function we provide the corresponding proof of the parametricity result. Building such a proof is mechanical thanks to the functional nature of the underlying abstraction theorem.

### 3.1 Exploration Principle

The parametricity relation is a powerfull tool but sometimes we want something closer to an induction principle. An induction principle allows the target proprety (known as $\llbracket \mathrm{M} \rrbracket$ in our previous proofs) to be not only a relation between two explorations, but can be an arbitrary predicate on the exploration function itself.
Definition 2. The exploration principle states that any property $P$ on an exploration function $e^{A}$ holds if: Pholds for empty-explore; $P$ holds for all points (using point-explore); and P is preserved by merge-explore.

```
Explore \({ }^{\mathrm{P}}: \forall\{\mathrm{A}\} \rightarrow\) Explore \(\mathrm{A} \rightarrow \star\)
Explore \({ }^{\mathrm{P}}\{\mathrm{A}\} \mathrm{e}^{\mathrm{A}}=\)
    \(\forall\left(\mathrm{P}_{\mathrm{p}} \quad\right.\) : Explore \(\left.\mathrm{A} \rightarrow \star\right)\)
        ( \(\epsilon^{\mathrm{P}} \quad: \mathrm{P}\) empty-explore)
        \(\left(\oplus^{\mathrm{P}}: \forall\left\{\mathbf{e}_{0} \mathrm{e}_{1}\right\} \rightarrow \mathrm{P} \mathrm{e}_{0} \rightarrow \mathrm{P} \mathrm{e}_{1}\right.\)
        \(\rightarrow \mathrm{P}\left(\right.\) merge-explore \(\left.\mathrm{e}_{0} \mathrm{e}_{1}\right)\) )
    \(\left(\mathrm{f}^{\mathrm{P}} \quad: \forall \mathrm{x} \rightarrow \mathrm{P}(\right.\) point-explore x\(\left.)\right)\)
    \(\rightarrow \mathrm{P} \mathrm{e}^{\mathrm{A}}\)
```

Proper exploration functions come with the principle defined above. This principle is the induction principle on binary trees where empty, fork, and leaf, respectively become empty-explore, merge-explore and point-explore. Put differently, this property enforces that an exploration function is essentially a binary
tree where empty trees are $\epsilon$, forks are calls to ${ }_{\_} \oplus_{-}$, and leaves are calls to $f$.

Moreover, while the type of the principle (i.e. Explore ${ }^{\mathrm{P}}$ ) also looks a bit daunting, it is a simple mechanical process to prove it: one mimics what happens in the underlying exploration function. Below is the actual Agda proof term of this principle for our exploreD6 function. Thanks to implicit parameters the proof term exploreD6 ${ }^{\mathrm{P}}$ is almost like exploreD6:

```
exploreD6 ( Explore }\mp@subsup{}{}{P}\mathrm{ exploreD6
exploreD6 }\mp@subsup{}{}{P
    = (f+P
    \oplus P
```

In an impredicative setting at least (such as $\star$ : $\star$ ), the principle is equivalent to the parametricity relation, but so far we have not been able to prove this correspondence in a predicative setting. This causes some duplication in the amount of work one has to do when providing an exploration function, though this work is mostly mechanical.
Theorem 3. For any type A, exploration function $e^{A}:$ Explore $A$, a commutative monoid $\left(M, \epsilon, \oplus_{-}\right)$and two functions $f, g$ : $A \rightarrow M$, we have $e^{A} \epsilon_{-} \oplus_{-}(\lambda x \rightarrow f x \oplus g x) \equiv e^{A} \epsilon_{-} \oplus_{-} f \oplus e^{A}$ $\epsilon-\oplus-g$.

Proof. By the principle of $\mathrm{e}^{\mathrm{A}}$ and picking the motive ${ }^{5} \mathrm{P}$ e to be
 We need to show $P$ empty-explore which is $\epsilon \equiv \epsilon \oplus \epsilon$ which follows by monoid law. The case $P$ (merge-explore $e_{0} e_{1}$ ) where $P e_{0}$ and $P e_{1}$ follows by the interchange law (i.e for all $a, b$, $c$, and $d$ then $(a \oplus b) \oplus(c \oplus d) \equiv(a \oplus c) \oplus(b \oplus d))$. Finally we need to prove for all $x$ that $P$ (point-explore $x$ ) which is $f \mathrm{x} \oplus \mathrm{g} \mathrm{x} \equiv \mathrm{f} \mathrm{x} \oplus \mathrm{g} \mathrm{x}$ which is trivial.

Theorem 4. For any type A, exploration function $e^{A}$ : Explore A, two monoids ${ }^{6}\left(M, \epsilon_{m}, \oplus_{m_{-}}\right)$and $\left(N, \epsilon_{n}, \oplus_{n_{-}}\right)$, two functions $f_{m}: A \rightarrow M$ and $f_{n}: A \rightarrow N$, we have the exploration of the product monoid is the product of explorations, namely $e^{A} \epsilon_{-} \oplus_{-}<f_{m} \times f_{n}>\equiv\left(e^{A} \epsilon_{m} \oplus_{m_{-}} f_{m}, e^{A} \epsilon_{n} \oplus_{n-}\right.$ $\left.f_{n}\right)$ where $\left((M \times N), \epsilon, \oplus_{-}\right)$is the product monoid.

Proof. By the principle of $\mathrm{e}^{\mathrm{A}}$ and picking the motive to be P e to be e $\epsilon \bigoplus_{-}<\mathbf{f}_{m} \times \mathbf{f}_{n}>\equiv\left(\mathrm{e} \epsilon_{m-} \oplus_{m-} \mathbf{f}_{m}\right.$, e $\left.\epsilon_{n-} \oplus_{n-} \mathbf{f}_{n}\right)$, we need to show $P$ empty-explore which holds by definition of the product monoid. The case for $P$ (merge-explore $e_{0} e_{1}$ ) where $P e_{0}$ and $P e_{1}$ follows by congruence of $\oplus_{-}$and its definition. Finally we need to prove for all $x_{m}$ and $x_{n}$ that $P$ (point-explore $\left(\mathbf{x}_{m}, \mathbf{x}_{n}\right)$ ) which is $<\mathbf{f}_{m} \times \mathbf{f}_{n}>\left(\mathbf{x}_{m}, \mathbf{x}_{n}\right)$ $\equiv\left(\mathbf{f}_{m} \mathbf{x}_{m}, \mathbf{f}_{n} \mathbf{x}_{n}\right)$ which holds by definition.

We can now prove how explore-endo enables to re-associate an exploration.
Theorem 5. For any type A, exploration function $e^{A}$ : Explore $A$, monoid $\left(M, \epsilon, \oplus_{-}\right)$, function $f: A \rightarrow M$, and point $z: M$, we have $e^{A} \epsilon_{-} \oplus_{-} f \oplus z \equiv e^{A} \quad i d \__{-} \__{-}\left(\oplus_{-} \circ f\right) z$.

Proof. By the principle of $\mathrm{e}^{\mathrm{A}}$ and picking the motive P e to


[^4]need to show $P$ empty-explore which is $\forall \mathbf{z} \rightarrow \epsilon \oplus \mathbf{z} \equiv \mathbf{z}$ and follows by monoid law. The case for $P$ (point-explore $x$ ) holds by definition. Finally we need to prove the case for $P$ (merge-explore $e_{0} e_{1}$ ) where $P e_{0}$ and $P e_{1}$ hold. By definition it amounts to proving that for all $z,\left(e_{0} \epsilon \oplus_{-} f \oplus\right.$
 $\left.\left(\_\oplus_{-} \circ f\right) z\right)$. The assumption $P e_{1}$ can be used on $z$ and $P e_{0}$ can be used on $e_{1} \epsilon \oplus_{-} f \oplus z$. Using the associativity and congruence of $\oplus_{-}$the proof is complete.

Corollary 2. For any type A, exploration function $e^{A}$ : Explore A, then any exploration can be re-associated using the monoid on endomorphisms, namely for all monoid $\left(M, \epsilon, \oplus_{-}\right)$and function $f: A \rightarrow M$, we have $e^{A} \epsilon \oplus_{-} f \equiv$ explore-endo $e^{A} \in-\oplus_{-} f$.

Proof. Use Theorem 5 with $z$ being $\epsilon$ and conclude by monoid laws.

Exploration functions can be concretised to binary trees ${ }^{7}$. Binary trees can be explored using the fold function for trees. This allows us to treat exploration functions as data.

```
data Tree (A: \ ) : \star where
    empty : Tree A
    leaf : A }->\mathrm{ Tree A
    fork : (l r : Tree A) }->\mathrm{ Tree A
{- Fold over binary trees: -}
foldMap}\mp@subsup{}{}{T}:\forall{A}->\mathrm{ Tree A }->\mathrm{ Explore A
foldMap}\mp@subsup{}{T}{\prime}\mathrm{ empty = empty-explore
foldMap}\mp@subsup{}{}{T}\mathrm{ (leaf x) = point-explore x
foldMap}\mp@subsup{}{}{T}(\mathrm{ fork l r) = merge-explore (foldMap}\mp@subsup{}{T}{T}l
                                    foldMap }\mp@subsup{}{}{T
toTree : }\forall{A}->\mathrm{ Explore A }->\mathrm{ Tree A
toTree }\mp@subsup{e}{}{A}=\mp@subsup{e}{}{A}\mathrm{ empty fork leaf
```

Theorem 6. For any type A, exploration function $e^{A}$ : Explore A, monoid ( $M, \epsilon, \oplus_{-}$) and function $f: A \rightarrow M$, we have $e^{A} \epsilon \epsilon_{-}$ $f \equiv$ foldMap $^{T}\left(\right.$ toTree $\left.^{A}\right) \epsilon_{-} \oplus_{-} f$.

Proof. By the principle of $\mathrm{e}^{\mathrm{A}}$ and picking the motive to be $\mathrm{P} \mathrm{e}=$ e $\epsilon \oplus_{-} \mathrm{f} \equiv \mathrm{foldMap}^{\mathrm{T}}$ (toTree e) $\epsilon$ _ $_{-}$f. All cases are trivial.

[^5]
## 4. Exploration and dependent types

Big operators over types: Intuitively $\Sigma$ is the big operator for $\uplus_{-}-$and $\Pi$ the big operator for $\__{-}$. For any type $A$ and $e^{A}$ : Explore A, the monoids $\left(\star, \mathbb{O},-_{-} \uplus_{-}\right)$and $\left(\star, \mathbb{1},-\times_{-}\right)$ can be used to compute a type from the explored values of A. We call these operators $\Sigma^{e}$ and $\Pi^{e}$ :

```
\Sigma e}:(\textrm{A}->\star)->
\Sigma
\Pi e}:(\textrm{A}->\star)->
\Pi
```

For any type family $B$, a value of type $\Sigma^{e} B$ is a composition of injections (inl/inr) until reaching a value of type $B \times$ for some x : A. Similarly, a value of type $\Pi^{e} \quad B$ is a tuple of nested pairs storing a value $\mathrm{B} \times$ for each x explored.

When all the values of type A are exhaustively and uniquely explored, then the type operators $\Sigma^{e}$ and $\Pi^{e}$ are equivalent to $\Sigma$ A and $\Pi$ a respectively. When it is so, $\Sigma^{e}$ and $\Pi^{e}$ are said to be adequate $\Sigma$-type and $\Pi$-type.

```
Adequate-\Sigma : ((A }->\boldsymbol{\star})->\star)->
```



```
Adequate-\Pi : ((A }->\star)->\star)->
Adequate-\Pi \Pi}\mp@code{|}=\forall\textrm{F}->\mp@subsup{\Pi}{}{A}\textrm{F}\equiv\Pi A F
```

These type operators ( $\Sigma^{e}$ and $\Pi^{e}$ ) can be read logically as finitary qualifiers ( $\exists$ and $\forall$ ).

## Small scale reflection by exhaustive testing:

Theorem 7. For any type A, exploration function $e^{A}$ : Explore A, and function $f: A \rightarrow \mathcal{D}$, assuming furthermore that the derived $\Pi^{e}$ is adequate, then all $e^{A} f$ returns $1_{2}$ exactly when $f$ returns $1_{2}$ for all $x$ of type A, namely $\checkmark\left(\right.$ all $\left.e^{A} f\right) \equiv \forall x \rightarrow \checkmark(f x)$ where $\checkmark$ maps $\mathfrak{D}$ to $\star$ and the function all is defined in Figure 2.

Proof. Since $\checkmark$ forms a monoid homomorphism from (2, $1_{2}$, $\left.\wedge_{-} \wedge_{-}\right)$to $\left(\star, \mathbb{1}, \times_{-}\right)$one can distribute this homomorphism using Theorem 1. Remains to show that $\Pi^{e} e^{A}(\checkmark \circ f)$ is equal to $\forall \mathrm{x} \rightarrow \checkmark(\mathrm{f} \mathrm{x})$ which holds by adequacy of $\Pi^{e}$.

This theorem can be used to provide a generic tool for proofs by reflection. This tool can be used to prove any statement for which the domain is amenable to exhaustive testing. The function check! below takes any predicate $f$ on $A$ expressible as a function to $\mathbb{D}$, the second argument is implicit and thus forces the type checker to normalise the expression all $e^{A} f$. If this expression normalise to $\mathrm{O}_{2}$ then the type checker fails to find a term of type $\mathbb{O}$, if it normalise to $1_{2}$ then the type checker can apply the $\eta$-rule for the type $\mathbb{1}$ to establish the existence and uniqueness of the implicit argument. The function check! then returns a proof that $f \mathrm{x}$ is $1_{2}$ for all $x$. Internally the function check! uses Theorem 7 in the forward direction.

```
check! : \forallf }->{\mathrm{ pf : }\checkmark(\mathrm{ all eA f)}
    ->(\forall\textrm{x}->\checkmark
```

As an example of the use of check! we automatically derive a proof of the distributivity of $\wedge_{-} \wedge_{-}$over $\vee \vee^{\prime}$. One first define the property as single function over $\mathfrak{Q}$, no currying is used here. Then
from the exploration function on $\mathbb{2} \times \mathbb{2} \times \mathscr{2}$ one get the check! which proves the goal by certified exhaustive search.

```
prop-^-V-distr \((x, y, z)=\)
    \(x \wedge(y \vee z)==x \wedge y \vee x \wedge z\)
check- \(\wedge-\vee\)-distr : \(\forall \mathrm{x}\) y \(\mathrm{z} \rightarrow\)
    \(\checkmark(x \wedge(y \vee z)==x \wedge y \vee x \wedge z)\)
check- \(\wedge-\vee\)-distr x y \(\mathrm{z}=\)
    check! prop- \(\wedge-\vee\)-distr (x , y , z)
```

Similarly, the disjunction is related to $\Sigma$-types, but not with a bi-implication and not an equivalence. Indeed, $\checkmark(x \vee y)$ has at most one inhabitant while $\checkmark \mathrm{x} \uplus \checkmark \mathrm{y}$ has at most two.
Theorem 8. For any type A, exploration function $e^{A}$ : Explore A, and function $f: A \rightarrow \mathcal{D}$, assuming furthermore that the derived $\Sigma^{e}$ is adequate, then any $e^{A}$ freturns $1_{2}$ exactly when $f$ returns $1_{2}$ for some $x$ of type $A$, namely $\checkmark\left(\right.$ any $\left.e^{A} f\right) \leftrightarrow \exists \lambda x \rightarrow \checkmark(f$ $x)$ where the function any is defined in Figure 2.

Proof. The proof is similar to Theorem 7 except that the monoid $\left(\star, \mathbb{O}, \__{-}\right)$is choosen up to bi-implication instead of equality.

### 4.1 Explorable types are decidable

When working with finite types it is possible to appeal to classical logic principles. Using exploration functions we can for example recover decidability for $\Sigma$ and $\Pi$-types. We recall that Dec A is equivalent $\mathrm{A} \uplus \neg \mathrm{A}$.
Lemma 1. Decidability is provable for types $\mathbb{O}, \mathbb{1}$ and is closed under sums and products ( $-\uplus_{-}, \times_{-}$).

These proofs are straightforward and as they are available in our online development, we omit them for concisions.

Let $\mathrm{B}: \mathrm{A} \rightarrow \star$ be a type family, we call B as a decidable predicate if and only if $B x$ is decidable for all $x$ : $A$.
Theorem 9. Let A be a type, $e^{A}$ be an exploration on $A$, and $\Pi^{e} e^{A}$ be adequate. If $B$ is a decidable predicate then $\Pi$ A B is decidable.

Proof. We start by proving that $\Pi^{e} e^{A} B$ is decidable. Using induction on $e^{A}$ with motive $P$ e to be Dec ( $\Pi^{e}$ e B). We need to show that $P$ empty-explore holds, which is Dec $\mathbb{1}$ and follows from Lemma 1. We need to show that $P$ (merge-explore $e_{0} e_{1}$ ) holds assuming $P e_{0}$ and $P e_{1}$, it follows from Dec being closed under products $\times_{-}$. We need to show that $P$ (point-explore $x$ ) holds, which is Dec ( $B \times$ ) which holds since $B$ is a decidable predicate. Finally one uses the adequacy of $\Pi^{e} e^{A}$ to conclude the proof.

Theorem 10. Let A be a type, $e^{A}$ be an exploration on $A$, and $\Sigma^{e} e^{A}$ be adequate. If $B$ is a decidable predicate then $\Sigma A B$ is decidable.

Proof. The proof follows the same structure as for $\Pi$-types (Lemma 9 ), where Dec $\mathbb{O}$ is the base case and the merge case uses the fact that Dec is closed under sums.

Exploring - types: The function explore $\times$ in Figure 1 explores the cartesian product $\mathrm{A} \times \mathrm{B}$ given explorations for A and B . This construction nicely scales to dependent pairs. To explore $\Sigma \mathrm{A}$ B one needs a family of explorations for each $B \mathrm{x}$ where x has type A .

This implies a single change in comparison to explore $\times$, namely x is given to explore ${ }^{B}$ :

```
explore \Sigma : Explore A }->(\forall\textrm{x}->\mathrm{ Explore (B x ))
    Explore ( }\Sigma\mathrm{ A B )
explore\Sigma explore }\mp@subsup{}{}{A}\mathrm{ explore }\mp@subsup{}{}{B}\epsilon _\oplus_ 
    = explore }\mp@subsup{}{}{\textrm{A}}\mp@subsup{\epsilon}{-}{}\mp@subsup{\oplus}{-}{}\lambda\textrm{x}
        explore }\mp@subsup{}{}{\textrm{B}}\textrm{x}\epsilon\mp@subsup{\epsilon}{-}{-}\lambday y 
            f (x , y)
```

The relational parametricity applies to the definition of $\operatorname{explore} \Sigma$. While not yet fully automated in AGDA, the mechanical aspect of parametricity is appreciated even when dealing with types and programs as short as Explore, $\Sigma$, and explore $\Sigma$. The definitions for $\llbracket \Sigma \rrbracket$, $\llbracket \operatorname{explore} \Sigma \rrbracket$, and $\operatorname{explore} \Sigma^{\mathrm{P}}$ are given in appendix A. 2 .

Exploration functions for exploring functions: Exploring a function type (such as Explore (A B ) ), would combine functions $f_{0} f_{1} \ldots f_{n}: A \rightarrow B$ using the provided binary operator. Ideally one would combine information about A and B to explore A $\rightarrow$ B. While we found no way to directly exhaustively explore functions there is an attractive workaround: one can use type equivalences on functions to incrementally build such an exploration function. Namely, one decomposes the domain with type equivalences towards simpler types we can explore:

$$
\begin{array}{ll}
(A \uplus B) \rightarrow C & \simeq \\
(A \times B) \rightarrow C & \simeq \\
(A \rightarrow C) \times(B \rightarrow C) \\
& A \rightarrow(B \rightarrow C)
\end{array}
$$

These type equivalences require function extensionality, making this one more case where homotopy type theory can help. While not required to define the exploration functions themselves, the proofs of these type equivalences are required to prove their adequacy.

## 5. Sums, products and type equivalences

### 5.1 Adequate sums and products

Our original motivation was to work with summation functions as a way to compute and reason about uniform discrete probability distributions. Using an exploration function, we can derive a summation function which has stronger properties (the free-theorems discussed before), we can then sum the events over all the values of a given type. Exploring types more than containers is illustrated in Section 5.2 where we model probabilistic functions as deterministic functions with an extra argument for the randomness.

In this part we develop adequate summations and products and some properties they enjoy. In Section 5.2 we build on summations and show how our model for probabilistic functions yield uniform and discrete probability distributions. In particular probabilistic equivalence is equivalent to type equivalence in Corollary 4. This corollary follows from Theorem 12 and Theorem 16 developed in this section.

## How can we ensure that we have a correct summation function?

 We need to ensure that an adequate summation function is going to count every value exactly once (i.e an adequate summation function is not allowed to forget a value or over-count it). In order to guarantee this we use a strong correspondence between the sizes $^{8}$ of types in type theory and the act of summing. We use this correspondence as a specification for the summation functions that fully explores a type. It boils down to the observation that $\Sigma$ A F is acting as a big operator for disjoint union of all $\mathrm{F} x$ where x is of type A. Therefore the size of a $\Sigma$-type is the summation of the sizes over the type family: $\sharp(F i n n) \equiv n$ and $\sharp(\Sigma A F) \equiv \sum_{x \in A} \sharp(F x)$.[^6]Using these size relations we can show that sum ${ }^{A}$ a summation function is correct, assuming a particular type equivalence exists. Since type equivalences preserve sizes, we argue as follows.

$$
\begin{aligned}
\operatorname{sum}^{A} f & \equiv \sharp\left(\operatorname{Fin}\left(\operatorname{sum}^{A} f\right)\right) \\
& \equiv \sum_{x \in A} \sharp(\operatorname{Fin}(f x)) \\
& \equiv \sum_{x \in A} f\left(\sum_{x} A(\operatorname{Fin} \circ f)\right)
\end{aligned}
$$

Definition 3. A function sum ${ }^{A}$ for a type $A$ is said to be an adequate sum iffor all functions $f$ there is an equivalence between $\Sigma A$ (Fin $\circ f)$ and Fin $\left(s u m^{A} f\right)$. In AGDA: Adequate-sum sum ${ }^{A}=\forall f \rightarrow$ $\Sigma A(F i n \circ f) \simeq \operatorname{Fin}\left(s u m^{A} f\right)$.

This correspondence can be further extended to products, as $\Pi$ types can be seen as the big operator for products. The correctness for product functions can be defined using correspondence similar to the one for summation functions:

$$
\begin{aligned}
\operatorname{prod}^{A} \mathrm{f} & \equiv \sharp\left(\operatorname{Fin}\left(\operatorname{prod}^{A} \mathrm{f}\right)\right) \\
& \equiv \sharp\left(\prod_{\mathrm{A}}(\operatorname{Fin} \circ \mathrm{f})\right) \\
& \equiv \prod_{\mathrm{x} \in \mathrm{~A}} \sharp(\operatorname{Fin}(\mathrm{fx}))
\end{aligned}
$$

Definition 4. A function prod $d^{A}$ for a type $A$ is said to be an adequate product if for all $f$ there is an equivalence between $\Pi$ A (Fin $\circ f)$ and Fin $\left(\right.$ prod $\left.^{A} f\right)$.
In AGDA: Adequate-product prod ${ }^{A}=\forall f \rightarrow \Pi A($ Fin $\circ f) \simeq$ Fin ( prod $^{A} f$ ).

Our first use of adequacy for sums and products is to prove the following equation:
$\forall(f \in(A \times B) \rightarrow \mathbb{N}), \prod_{x \in A} \sum_{y \in B} f(x, y) \equiv \sum_{g \in(A \rightarrow B)} \prod_{x \in A} f(x, g(x))$
At first we prove a more general result, where $B$ is a family indexed by A and thus dependent functions and dependent pairs are required. The non-dependent version is given as a corollary.
Theorem 11. Let prod ${ }^{A}$ be an adequate product function for the type $A$. Let sum ${ }^{A B}$ be an adequate summation function for a type $\Pi$ A B. Finally let sum $^{B}$ be a family over $A$ of summation functions on the type $B$. Then for all function $f:(x: A) \rightarrow B x \rightarrow \mathbb{N}$, $\operatorname{prod}^{A}\left(\lambda x \rightarrow \operatorname{sum}^{B} x(\lambda y \rightarrow f x y)\right)$ is equal to $\operatorname{sum}^{A B}\left(\lambda g \rightarrow \operatorname{prod}^{A}(\lambda x \rightarrow f x(g x))\right)$.

Proof. Using the adequacy properties together with the type equivalence between $\Pi \mathrm{A}(\lambda \mathrm{x} \rightarrow \Sigma(\mathrm{Bx}) \lambda \mathrm{y} \rightarrow \mathrm{Cxy})$ and $\Sigma(\Pi$ AB) $\lambda \mathrm{f} \rightarrow$ П A $\lambda \mathrm{x} \rightarrow \mathrm{Cx}(\mathrm{fx})$. The logical interpretation of the forward direction is usually known as the dependent axiom of choice. Categorically a map into a product ( $\Sigma$-type) is a product of maps.

Corollary 3. Let sum $^{B}$ and sum $^{A B}$ be adequate summation functions for a type $B$ and $A \rightarrow B$ respectively. Furthermore let prod ${ }^{A}$ be an adequate product function for the type $A$. Then for all function $f: A \rightarrow B \rightarrow \mathbb{N}$, $\operatorname{prod}^{A}\left(\lambda x \rightarrow \operatorname{sum}^{B}(\lambda y \rightarrow f x y)\right)$ is equal to $\operatorname{sum}^{A B}\left(\lambda g \rightarrow \operatorname{prod}^{A}(\lambda x \rightarrow f x(g x))\right)$.

Proof. Since non-dependent functions are a particular case of dependent functions one can directly use Theorem 11.

Using this specification we get a correctness criterion for summation functions and we can use type equivalences to derive results about our summation functions. For instance, summation functions are invariant under equivalences.

Lemma 2. Having an adequate summation function sum over type A, with a derived size size : $\mathbb{N}$, it is possible to construct an equivalence Fin size $\simeq A$.
Proof. By sum being adequate summation and the type equivalence $\Sigma \mathrm{A}(\lambda \rightarrow-\operatorname{Fin} 1) \simeq \mathrm{A}$.

Lemma 3. Let $A_{0}, A_{1}$ be types, and $A=$ be a type identity : $A_{0} \equiv$ $A_{1}$. Let $B_{1}, B_{1}$ be type families on $A_{0}$ and $A_{1}$ respectively. Let $B=a$ family over $A_{0}$ of equivalences between $B_{0}$ and $B_{1}$. The type of $B=$ is $\left(x: A_{0}\right) \rightarrow B_{0} x \equiv B_{1}((\operatorname{coe} A=) x)$ and coe $A=$ is the identity transport along $A=$. It is then possible to construct a path $\Sigma A_{0} B_{0} \equiv \Sigma A_{1} B_{1}$.

Proof. By based path induction on $\mathrm{A}=$ one has only to consider the case for reflexivity on the base point $A_{0}$. Notice that the family $B_{1}$ now is on $A_{0}$, and that $B=$ is now convertible to $\left(\mathrm{x}: \mathrm{A}_{0}\right) \rightarrow \mathrm{B}_{0} \mathrm{x} \equiv \mathrm{B}_{1} \mathrm{x}$ since coe computes to the identity function on the reflexivity path. It remains to show a path between $\Sigma \mathrm{A}_{0} \mathrm{~B}_{0}$ and $\Sigma \mathrm{A}_{1} \mathrm{~B}_{1}$, which amounts to first use function extensionality on $B=$ to get a path $B_{0} \equiv B_{1}$, which can then be applied to the context $\Sigma \mathrm{A}_{0}$.

Lemma 4. Let $A_{0}, A_{1}$ be types, and $A \simeq$ be a type equivalence : $A_{0} \simeq A_{1}$. Let $B_{1}, B_{1}$ be type families on $A_{0}$ and $A_{1}$ respectively. Let $B=$ a family over $A_{0}$ of paths between $B_{0}$ and $B_{1}$. The type of $B=$ is $\left(x: A_{0}\right) \rightarrow B_{0} x \equiv B_{1}(\cdot \rightarrow A \simeq x)$ and $\rightarrow A \simeq$ projects the $A_{0} \rightarrow A_{1}$ function. It is then possible to construct an equivalence $\Sigma A_{0} B_{0} \simeq \Sigma A_{1} B_{1}$.

Proof. The equivalence $\mathrm{A} \simeq$ is transformed into a path using the univalence axiom ua. To use the previous Lemma 3 it remains to show a family of paths: $\forall x \rightarrow B_{0} x \equiv B_{1}($ coe (ua $\left.A \simeq) x\right)$. Considering such an x : $A_{0}$ we first use the path $(B=) \mathrm{x}$. To show a path between $B_{1}(\cdot \rightarrow A \simeq x)$ and $B_{1}(\operatorname{coe}(u a A \simeq) x)$ we apply the context $B_{1}$. Finally we use the $\beta$-rule for the univalence axiom which gives a path between $\rightarrow \mathrm{A} \simeq \mathrm{x}$ and coe (ua $\mathrm{A} \simeq$ ) x , which concludes the proof.

Theorem 12. Given two adequate summation functions sum ${ }^{A}$ and sum $^{B}$ for types $A$ and $B$ respectively, for all equivalences $\pi: A \simeq B$ and functions $f: B \rightarrow \mathbb{N}$ the summation sum ${ }^{A}(f \circ \pi)$ is equal to the summation sum $^{B} f$.

Proof. Using adequacy of the summation functions and the Lemma 2 and Lemma 4 we get an equivalence $t h m: \operatorname{Fin}\left(\operatorname{sum}^{\mathrm{A}}(\mathrm{f} \circ \pi)\right) \simeq$ Fin $\left(\operatorname{sum}^{B} \mathrm{f}\right)$. Since Fin is injective (i.e Fin $m \simeq \operatorname{Fin} n \rightarrow m \equiv n$ ) the proof is complete.


Lemma 5. Given two summation functions sum ${ }^{A}$ and sum ${ }^{B}$ for type $A$ and type B, if both are adequate they satisfy the commutation property that $\operatorname{sum}^{A}\left(\lambda a \rightarrow \operatorname{sum}^{B}(\lambda b \rightarrow f(a, b))\right)$ is equal to $\operatorname{sum}^{B}\left(\lambda b \rightarrow \operatorname{sum}^{A}(\lambda a \rightarrow f(a, b))\right)$.

Proof. By adequacy of $\operatorname{sum}^{A}$ and $s u m^{B}$ and the type equivalence between $\Sigma \mathrm{A} \lambda \mathrm{x} \rightarrow \Sigma \mathrm{B} \lambda \mathrm{y} \rightarrow \mathrm{C} \mathrm{x}$ y and $\Sigma \mathrm{B} \lambda \mathrm{y} \rightarrow \Sigma \mathrm{A} \lambda \mathrm{x} \rightarrow \mathrm{Cx} \mathrm{y}$.

Counting uniquely: We prove that all values are summed only once when using an adequate summation function sum.
Theorem 13. Assume for a type A that we have a boolean equality test ${ }_{-}==_{-}$such that, for all $x$ and $y$ of type A, the type $(x==y)$ $\equiv 1_{2}$ is equivalent to $x \equiv y$. Furthermore, assume an adequate summation function sum, from which we derive a counting function count. Then, for all $x$, the equation count $(\lambda y \rightarrow x==y) \equiv 1$ holds.

Proof. Using the fact that sum is an adequate summation function together with the type equivalence $\Sigma \mathrm{A}(\lambda \mathrm{y} \rightarrow \mathrm{x} \equiv \mathrm{y}) \simeq \mathbb{1}$.

Lemma 6. The type family Fin is a monoid homomorphism from $\left(\mathbb{N}, 0,{ }_{-}^{+}\right)$to $\left(\star, \mathbb{O},-_{-}\right)$.

Proof. By standard type equivalences, Fin $0 \simeq \mathbb{O}$ and for all m and n , then $\operatorname{Fin}(\mathrm{m}+\mathrm{n}) \simeq(\operatorname{Fin} \mathrm{m} \uplus \operatorname{Fin} \mathrm{n})$.

Theorem 14. For any type $A$ and exploration function $e^{A}$ : Explore A such that $\Sigma^{e} e^{A}$ is an adequate $\Sigma$-type then sum $e^{A}$ is an adequate summation function.

Proof. To give an equivalence $\operatorname{Fin}\left(\mathrm{e}^{\mathrm{A}} 0_{-+}+\mathrm{f}\right) \simeq \Sigma \mathrm{A}($ Fin $\circ \mathrm{f})$, instantiate Adequate $-\Sigma$ with $F$ being Fin $\circ f$ to get an equivalence $\mathrm{e}^{\mathrm{A}} \mathrm{O}_{-} \uplus_{-}($Fin $\circ \mathrm{f}) \simeq \Sigma \mathrm{A}($ Fin $\circ \mathrm{f})$. By Theorem 1 and Lemma 6 one get Fin $\left(e^{A} 0_{-+} f\right) \simeq e^{A} \mathbb{O} ـ_{-} \uplus_{-}(F i n \circ f)$ and by transitivity the sought after equivalence is reached.

Lemma 7. The type family Fin is a monoid homomorphism from $\left(\mathbb{N}, 1,-*_{-}\right)$to $\left(\star, \mathbb{1},-\times_{-}\right)$.

Proof. By standard type equivalences, Fin $1 \simeq \mathbb{1}$ and for all m and n , then $\operatorname{Fin}(\mathrm{m} * \mathrm{n}) \simeq(\operatorname{Fin} \mathrm{m} \times \operatorname{Fin} \mathrm{n})$.

Theorem 15. For any type $A$ and exploration function $e^{A}$ : Explore A such that $\Pi^{e} e^{A}$ is an adequate $\Pi$-type then product $e^{A}$ is an adequate product.

Proof. Proved in a similar way as theorem 14 using Lemma 7.

### 5.2 Probabilistic functions, deterministically

While a deterministic function is a fixed mapping from elements of a domain $A$ to elements of a codomain $B$, a probabilistic function carries out a probabilistic process to map the elements of $A$ to the elements of $B$.

This extra capability of a probabilistic function $p$ can be modeled by a deterministic function $f$ receiving one extra argument $r$ uniformly drawn from a set $R$. The argument $r$ represents the randomness required by the probabilistic process. When the function $f$ is correctly chosen the following holds for all arguments $x$ and result $y: \operatorname{Pr}[r \leftarrow R ; f(x, r) \equiv y] \equiv \operatorname{Pr}[p(x) \equiv y]$.

In this part we focus on a finite random supply $R$ or equivalently a finite universe of events $\Omega$. With this setting one can reason about uniform discrete probabilities using exploration functions and type equivalences. For a probabilistic function which needs to toss a coin, roll a six-sided die and generate a 128 -bits key, the type R can be any type equivalent to ( $2 \times \mathrm{D} 6 \times$ Bits 128 ).
Lemma 8. Assume an adequate summation function sum over a type $R$ and let count be the derived counting function. Let $f, g$ : $R \rightarrow \mathcal{L}$ such that count $f \equiv$ count $g$, then it is possible to construct an equivalence between $\Sigma R\left(\lambda x \rightarrow f x \equiv 1_{2} \times g x \equiv 0_{2}\right)$ and $\Sigma$ $R\left(\lambda x \rightarrow f x \equiv O_{2} \times g x \equiv 1_{2}\right)$.

Proof. By proving that count $(\lambda \mathrm{x} \rightarrow \mathrm{f} \mathrm{x} \wedge \operatorname{not}(\mathrm{gx})) \equiv \mathrm{count}$ $(\lambda \mathrm{x} \rightarrow \operatorname{not}(\mathrm{f} x) \wedge \mathrm{gx})$ adequacy of sum gives the equivalence. The above equality holds since count $f \equiv \operatorname{count}(\lambda x \rightarrow f x \wedge$ $\mathrm{g} x)+\operatorname{count}(\lambda \mathrm{x} \rightarrow \mathrm{fx} \wedge \operatorname{not}(\mathrm{gx}))$ and similarly count g $\equiv \operatorname{count}(\lambda \mathrm{x} \rightarrow \mathrm{fx} \wedge \mathrm{gx})+\operatorname{count}(\lambda \mathrm{x} \rightarrow \operatorname{not}(\mathrm{f} x) \wedge \mathrm{gx})$ therefore since count $f \equiv$ count $g$ by assumption we can conclude by canceling count $(\lambda \mathrm{x} \rightarrow \mathrm{fx} \wedge \mathrm{gx})$.

Lemma 9. Given any type $R$, two functions $f, g: R \rightarrow \mathcal{L}$ and an equivalence $e_{0}: \Sigma R\left(\lambda x \rightarrow f x \equiv 1_{2} \times g x \equiv O_{2}\right) \simeq \Sigma R(\lambda x \rightarrow$ $f x \equiv O_{2} \times g x \equiv 1_{2}$ ), it is possible to construct an equivalence $e_{1}$ $: R \simeq R$ such that for all $x, f x \equiv g\left(e_{1} x\right)$ holds.

Proof. The equivalence $e_{1}$ will be its self inverse. If $f \mathrm{x} \equiv \mathrm{g} x$ it will be the identity, otherwise it will be either $e_{0}$ or $e_{0}{ }^{-1}$ depending on which case we are in. This relies on $e_{0}\left(e_{1} \mathbf{x}\right) \equiv$ $x$ and $e_{1}\left(e_{0} x\right) \equiv x$ which follows from $e_{0}$ being an equivalence. Furthermore the equivalence have been constructed so that $f x \equiv g$ ( $\mathrm{e}_{1} \mathrm{x}$ ) holds.

Theorem 16. Assume an adequate summation function sum over a type $R$ and let count be the derived counting function. For two events $f, g: R \rightarrow \mathcal{P}$, such that $f$ and $g$ have the same probability of occuring i.e count $f \equiv$ count $g$, it is possible to construct an equivalence $\pi: R \simeq R$ such that $f x \equiv g(\pi x)$.

Proof. By Lemma 8 and Lemma 9 .

Corollary 4. Two events $f g: R \rightarrow \mathcal{L}$ have the same probability of occurring if and only if there is a type equivalence $\pi: R \simeq R$ such that $f$ is equal to $g \circ \pi$.

Proof. Combining Theorem 12 and Theorem 16.

Corollary 5. Uniform distributions: For any type A and any value $x$ of type $A$, the likelihood of a random sample $y$ of type $A$ being equal to $x$ is $\operatorname{Pr}[x \equiv y] \equiv \frac{1}{\sharp(A)}$.

Proof. Follows directly from Theorem 13.

This corollary implies that our definition of random sampling corresponds to a uniform sampling. Uniform distributions are those that attribute the same probability to all values of the type used as the universe of events. For finite types this amounts to saying that each value has to be counted exactly once.

Lemma 10. For any type $A$, and exploration function $e^{A}$ : Explore A, two events $f, g: A \rightarrow \mathcal{D}$, we have count $e^{A} f+$ count $e^{A} g \equiv$ count $e^{A}(\lambda x \rightarrow f x \wedge g x)+$ count $e^{A}(\lambda x \rightarrow$ $f x \vee g x)$ where count is defined in Figure 2.

Proof. By Theorem 3 we only need to show ${ }^{9}$ that for all $\mathrm{x}, \mathrm{f} \mathrm{x}+\mathrm{g}$ $x \equiv(f x \wedge g x)+(f x \vee g x)$ which is trivial.

Examples of using type equivalences for summations: When reasoning about probabilities, one establishes the relation between the probabilities of two processes. A deduction step either approximates (weakens, loosens) this relation or keeps it unchanged. In the latter case the probability stays the same because of a symmetry within the space of events. These symmetries can be exploited by showing the event spaces to be equivalent as types.

Examples from cryptography: Internally an encryption scheme often works using group structures. Assuming an arbitrary group ( $\mathrm{G}, 0, \oplus_{-},-_{-}$), the security of the system often relies on the fact that, for any x , adding a random value to x will still appear random. The standard example is one time pad where encryption is just bitwise XOR of the key and the message. If one can show that $\lambda \mathrm{x} \rightarrow \mathrm{x} \oplus \mathrm{m}$ is an equivalence for some m then adding a random value to $m$ is indistinguishable from taking a random value. This indstinguishability is proven by showing that, for all observers $0: G \rightarrow \mathbb{N}$, $\operatorname{sum}(\lambda x \rightarrow 0(x \oplus m))$ is equal to $\operatorname{sum}(\lambda x \rightarrow 0(x))$, due to Theorem 12. In particular the observer learns nothing of $m$, which is why this provides security.

One case where this reasoning is used is when proving the security of a stream cipher. A stream cipher assumes a pseudo random number generator PRG which is a probabilistic function that will output random looking data. Compared to one time pad, the main benefit of a stream cipher is that the size of randomness required is less than the size of the output. The encryption of a stream cipher is $\operatorname{PRG}(\mathrm{key})$ XOR m where $m$ is the message: one usually argues that this is secure because $\operatorname{PRG}($ key ) is supposed to be indistinguishable from random.

Another example is in the proof of the ElGamal encryption system which works in a multiplicative group instead. In one part of the proof the adversary gets a ciphertext $\mathrm{c}=\left(\mathrm{g}^{\mathrm{y}}, \mathrm{g}^{\mathrm{z}} \bullet \mathrm{m}\right)$ where both $\mathrm{g}^{\mathrm{y}}$ and $\mathrm{g}^{\mathrm{z}}$ can be considered to be random. Hence the adversary will not learn anything about the message $m$.

[^7]
## 6. Discussion

### 6.1 Related work

## Free Theorems Involving Type Constructor Classes:

J. Voigtländer[15] shows how to extend HaSKELL relational parametricity to constructor classes. In particular one application is to make the use of difference lists transparent. He is defining a ListLike type class which is presented differently but equivalent to our three parameters for explorations. His Theorem 6 corresponds to our Corollary 2, his Theorem 7 is similar to our Theorem 1. These two theorems are both fully formalised in development.

The Big_Operators theory in Isabelle: Another development of big operators can be found in Isabelle [11]. This library uses an axiomatization of finite sets and a fold function operating on these sets. Since Isabelle/HOL is based on classical logic, the fold functions are, in contrast to our exploration functions, not constructive. Because of this we can't directly use the results from this library.

Canonical big operators: The work on the bigops library [3] for COQ has a similar purpose as our exploration functions. This library focuses on the properties one can derive about folds over lists. These folds also allow one to filter out undesired values:

```
reduceBig : \(\forall\{\mathrm{U} \mathrm{A}: \star\}\left({ }_{-} \oplus_{-}: \mathrm{U} \rightarrow \mathrm{U} \rightarrow \mathrm{U}\right)(\epsilon: \mathrm{U})\)
    \((\mathrm{l}:\) List A\()(\mathrm{p}: \mathrm{A} \rightarrow \mathbb{Z})(\mathrm{f}: \mathrm{A} \rightarrow \mathrm{U})\)
reduceBig _ \(\oplus_{-} \epsilon\) l p f =
    foldr \((\lambda\) i \(x \rightarrow\) if \(p\) i then \(f i \notin x\) else \(x) \in l\)
```

By rearranging the types to put the predicate and the list as the first argument we can see that this is indeed a way to construct an exploration function, (although we abstract out the filtering using filter-explore from Section 2.2). Another way of defining reduceBig would be reduceBig pl=filter-explore $p$ (foldMap ${ }^{\text {L }}$ ).

In bigops [3], the type finType is characterised by a list together with a proof that for all element x of that list, x occurs only once, i.e. count ( $==-x$ ) xs $\equiv 1$. Theorem 13 states that every adequate exploration satisfies this criterion.

The Alea library: The CoQ library Alea [1] is used to reason about probabilities. Instead of summations they extract measures from a monad called Distr. The measure is extracted with the function $\mu:$ Distr $\mathrm{A} \rightarrow(\mathrm{A} \rightarrow[0,1]) \rightarrow^{\mathrm{m}}[0,1]$. Here $[0,1]$ represents the real numbers between 0.0 and 1.0 , and $\rightarrow^{\mathrm{m}}$ - represents monotonic functions. For a $\mu$ function to be a probability distribution it needs to be a linear continuous operation. The type $[0,1]$ had to be partly axiomatised and as such is not fully computable.

Since we can also sample over finite types in Alea, we can embed probabilistic functions from our system to the ALEA monad (Distr). To do so we use the underlying deterministic function. For instance, consider $f: R \rightarrow \mathcal{D}$. Once embedded in Alea, we conjecture that the following relation between the probability distribution and our summation functions sum ${ }^{R} f$ holds ${ }^{10}$ :

```
embed : (R }->\mathcal{Q})->\mathrm{ Distr }\mathbb{L
embed f = do r \leftarrow rand}\mp@subsup{}{}{\textrm{R}}\mathrm{ ; return (f r)
embedding : }\forall\textrm{f}->\mu(\mathrm{ embed f) 2}\triangleright[0,1] \equiv sum R f / #R
```


### 6.2 Future work

Beyond Foldable: Traversable and lenses The type class Foldable is hardly the only one with polymorphic methods. For instance the type class Traversable has a similar structure. While it has algebraic laws [7], we conjecture they hold by parametricity as well. It would be interesting and challenging to formally carry these results in Agda.

The lens package [8] is really designed with parametricity in mind. For instance, the library relies on the fact that a monoid is exactly a constant applicative functor, or that a functor which is both covariant and contravariant is necessarily constant. Formalising these constructions should contribute the further design and development of this kind of library.

Parametricity of higher inductive types: We made the choice to go towards homotopy oriented type theory. Moreover we manually implement the parametricity results which avoids the concern on the combinations with univalence [14]. Still we wonder on the interactions. Do higher inductive types [9] enjoy free-theorems in a similar way?
Higher inductive types: When looking at big operators we usually do not consider the order the elements are applied in to be of importance. This is reflected in the set-theoretical syntax $\bigoplus_{x \in A} f(x)$ that we have used so far. However, nothing prevents us from folding over a non-commutative and non-assocative operator. The tree type described in section 3 allows us to distinguish based on the order of elements. To remedy this one can instead use a higher inductive type [9]. The inductive type of binary trees (Tree) can be upgraded to a higher inductive type (FreeCMon) where the laws for commutative monoids are added as extra equalities. This type FreeCMon corresponds to the free commutative monoid. We conjecture that the induction on the type FreeCMon corresponds to a refienment of exploration functions where the operator enjoys a commutative monoid structure.

| data FreeCMon (A : $\star$ ) : $\star$ where |  |
| :---: | :---: |
| $\eta$ | $: \mathrm{A} \rightarrow$ FreeCMon A |
| $\epsilon$ | : FreeCMon A |
| $\oplus_{-} \underbrace{}_{-}$ <br> comm | $:(1 \mathrm{r}:$ FreeCMon A) $\rightarrow$ FreeCMon A <br> $: \forall \mathrm{x} y \rightarrow \mathrm{x} \oplus \mathrm{y} \equiv \mathrm{y} \oplus \mathrm{x}$ |
| assoc <br> neutral | $\begin{aligned} & \forall \mathrm{xyz} \rightarrow(\mathrm{x} \oplus \mathrm{y}) \oplus \mathrm{z} \equiv \mathrm{x} \oplus(\mathrm{y} \oplus \mathrm{z}) \\ & \forall \mathrm{x} \quad \rightarrow \epsilon \oplus \mathrm{x} \equiv \mathrm{x} \end{aligned}$ |

### 6.3 Conclusion

This work presents a way to reason formally about foldMap, or as we call them, explorations. No algebraic laws are stated for Foldable but some algebraic properties can be recovered by parametricity. We gave a detailed account on how different monoids or monoid homomorphisms interact with explorations. Additionally all the results present in this paper have been fully mechanised in AgDa. Dependent types do not only provide a common framework for programs and proofs but also enable new techniques such as exhaustively exploring a finite type or building a type from an exploration. We showed how type equivalences can establish the adequacy for big operators such as $\Sigma^{e}, \Pi^{e}$, sum and product. We made this work as a contribution to the safe use of parametricity results in functional programming.

[^8]
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## A. Agda development, selected parts

## A. 1 Listing of Type Equivalences

$\left(\star,\left(\wedge_{-}, \mathbb{1}\right),\left(\__{-}, \mathbb{O}\right)\right)$ is a commutative semiring up to equivalence.

Fin-inj : Fin $m \simeq$ Fin $n \rightarrow m \equiv n$

```
Fin-0-O : Fin \(0 \simeq \mathbb{O}\)
Fin-1- \(\mathbb{1}:\) Fin \(1 \simeq \mathbb{1}\)
Fin-2-2 : Fin \(2 \simeq \mathbb{Z}\)
Fin-+- \(\uplus\) : Fin \((m+n) \simeq\) Fin \(m \uplus\) Fin \(n\)
Fin \(-*-\times\) : Fin \((m * n) \simeq\) Fin \(m \times F i n h\)
Fin- \(\Sigma: \operatorname{Fin}\left(\operatorname{sum}^{A} f\right) \simeq \Sigma \mathrm{A}(\) Fin \(\circ \mathrm{f})\)
Fin- \(\quad: \operatorname{Fin}\left(\operatorname{prod}^{A} \mathrm{f}\right) \simeq \Pi \mathrm{A}(\) Fin \(\circ \mathrm{f})\)
\(\Sigma-\mathbb{1} \quad: \Sigma \mathbb{1} \mathrm{F} \simeq \mathrm{F} 0_{1}\)
```



```
\(\Sigma-\uplus: \Sigma(\mathrm{A} \uplus \mathrm{B}) \mathrm{F}\)
    \(\simeq \Sigma A(F \circ\) inl \() \uplus \Sigma B(F \circ i n r)\)
\(\Sigma-\Sigma: \Sigma(\Sigma \mathrm{AB}) \mathrm{F}\)
    \(\simeq \Sigma \mathrm{A}(\lambda \mathrm{a} \rightarrow \Sigma(\mathrm{B} \mathrm{a})(\lambda \mathrm{b} \rightarrow \mathrm{F}(\mathrm{a}, \mathrm{b})))\)
\(\Sigma-\equiv:(\mathrm{x}: \mathrm{A}) \rightarrow \Sigma \mathrm{A}(\ldots \equiv\) - x\() \simeq \mathbb{1}\)
\(\Sigma\)-swp : \(\Sigma \mathrm{A} \lambda \mathrm{x} \rightarrow \Sigma \mathrm{B} \lambda \mathrm{y} \rightarrow \mathrm{C} \mathrm{x}\) y
    \(\simeq \Sigma \mathrm{B} \lambda \mathrm{y} \rightarrow \Sigma \mathrm{A} \lambda \mathrm{x} \rightarrow \mathrm{Cx} \mathrm{y}\)
```

$\Pi-О \quad: \Pi \mathbb{O} \simeq \mathbb{1}$
$\Pi-\mathbb{1}: \Pi \mathbb{1} \mathrm{A} \simeq \mathrm{A} 0_{1}$
$\Pi-\mathbb{2}: \Pi \mathcal{2} \simeq \mathrm{A} 0_{2} \times \mathrm{A} 1_{2}$
$\begin{aligned} & \Pi-\uplus \quad: \Pi(\mathrm{A} \uplus \mathrm{B}) \mathrm{C} \simeq \Pi \mathrm{A}(\mathrm{C} \circ \mathrm{inl}) \times \Pi \mathrm{B}(\mathrm{C} \circ \mathrm{inr}) \\ & \Pi-\Sigma\end{aligned}: \Pi(\Sigma \mathrm{A}) \mathrm{C} \simeq(\mathrm{x}: \mathrm{A})(\mathrm{y}: \mathrm{B} \mathrm{x}) \rightarrow \mathrm{C}(\mathrm{x}, \mathrm{y})$
$\begin{aligned} & \Pi-\uplus \\ & \Pi-\Sigma\end{aligned}: \Pi(A \uplus B) C \simeq \Pi A(C \circ$ inl $) \times \Pi B(C \circ$ inr $)$
$\Pi$-swp : $\Pi$ A $\lambda \mathrm{x} \rightarrow \Pi$ В $\lambda \mathrm{y} \rightarrow \mathrm{C} \mathrm{x}$ y
$\simeq \Pi \mathrm{B} \lambda \mathrm{y} \rightarrow \Pi \mathrm{A} \lambda \mathrm{x} \rightarrow \mathrm{Cxy}$
$\begin{aligned} \text { dep-AC } & :(x: A) \rightarrow \Sigma(\text { B } x) \lambda y \rightarrow C x y \\ & \simeq \Sigma(\Pi \text { A B }) \lambda \mathrm{f} \rightarrow(\mathrm{x}: \mathrm{A}) \rightarrow \mathrm{Cx}(\mathrm{fx})\end{aligned}$
$\begin{aligned} \operatorname{dep}-\mathrm{AC} & :(\mathrm{x}: \mathrm{A}) \rightarrow \Sigma(\mathrm{B} x) \lambda \mathrm{y} \rightarrow \mathrm{Cxy} \\ & \simeq \Sigma(\Pi \mathrm{AB}) \lambda \mathrm{f} \rightarrow(\mathrm{x}: \mathrm{A}) \rightarrow \mathrm{Cx}(\mathrm{f} x)\end{aligned}$

## A. 2 Exploring - -types

```
record \llbracket\Sigma\rrbracket
        {\begin{array}{lll}{\mp@subsup{A}{1}{}}&{\mp@subsup{A}{2}{}}&{: \star}\end{array}}
        {\mp@subsup{\textrm{B}}{1}{}:\mp@subsup{\textrm{A}}{1}{}->\star\in}{\mp@subsup{\textrm{B}}{2}{}:\mp@subsup{\textrm{A}}{2}{}->\star\in}
        (Ar: A A }->\mp@subsup{\textrm{A}}{2}{}->\mp@subsup{\textrm{A}}{2}{}->\star
        (\mp@subsup{\textrm{B}}{r}{}:(\mp@subsup{\textrm{A}}{r}{}\llbracket->\rrbracket\llbracket\star|\rrbracket)}\mp@subsup{\textrm{B}}{1}{}\mp@subsup{\textrm{B}}{2}{}
        (p): \Sigma A ( B B ) ( }\mp@subsup{\textrm{p}}{2}{}:\sum\mp@subsup{\textrm{A}}{2}{}\mp@subsup{\textrm{B}}{2}{}):\star\mathrm{ where
    constructor -\llbracket,\rrbracket_
    field
        |ft\rrbracket: A
        |snd\rrbracket: B
module
```



```
    {\mp@subsup{B}{0}{}:\mp@subsup{A}{0}{}->\star\in{{\mp@subsup{\textrm{B}}{1}{}:\mp@subsup{\textrm{A}}{1}{}->\star\in}
    {\mp@subsup{B}{r}{}:(\mp@subsup{A}{r}{}\llbracket->\rrbracket\llbracket\star\rrbracket\rrbracket)}\mp@subsup{\textrm{B}}{0}{}\mp@subsup{\textrm{B}}{1}{}
    {\mp@subsup{e}{}{A}0}\mp@subsup{0}{0}{\prime}\mathrm{ : Explore A A }
    {\mp@subsup{e}{}{A}\mp@subsup{1}{1}{}: Explore A1 }
```



```
    {\mp@subsup{e}{0}{B}
    {\mp@subsup{e}{}{B}\mp@subsup{}{1}{}:\forall\textrm{x}->\mathrm{ Explore ( }\mp@subsup{\textrm{B}}{1}{}}\mathbf{x})
    (\mp@subsup{\mathbf{e}}{}{\textrm{B}}\mp@subsup{}{r}{\prime}:\forall{\mp@subsup{\mathbf{x}}{0}{}
    \llbracketExplore\rrbracket( ( }\mp@subsup{\textrm{B}}{r}{
where
        \llbracketexplore\Sigma\rrbracket: \llbracketExplore\rrbracket(\llbracket\Sigma\rrbracket A A B B )
                                    (explore\Sigma e A}0\quad\mp@subsup{e}{}{B}\mp@subsup{0}{0}{\prime}
                                    (explore \Sigma e A }\mp@subsup{1}{1}{\prime
        |explore\Sigma\rrbracket M M }\mp@subsup{\epsilon}{r}{}\mp@subsup{\oplus}{r}{}\mp@subsup{\mathbf{f}}{r}{}
        \mp@subsup{\mathbf{e}}{}{\mathbf{A}}\mp@subsup{r}{r}{}
            \mp@subsup{\mathbf{e}}{}{\textrm{B}}\mp@subsup{r}{r}{}
                        \mp@subsup{\mathbf{f}}{r}{}(\mp@subsup{\mathbf{x}}{r}{}\llbracket,\rrbracket\mp@subsup{\mathbf{y}}{r}{})
module
    {A: \ * 
    {B:A }->\star\mathrm{ * }
```



```
    (e ( AP : Explore P}\mp@subsup{e}{}{A}
    ( (\mp@subsup{e}{}{BP}:\forallx->\mp@subsup{Explore}{}{P}}(\mp@subsup{e}{}{B}x)
where
    explore \Sigma P
    explore \Sigma P e e AP}\mp@subsup{e}{}{BP}P\mp@subsup{\epsilon}{}{P}\quad\mp@subsup{\oplus}{}{P}\mp@subsup{f}{}{P}
```



```
        Pe}\mp@subsup{}{}{\textrm{B}}\textrm{x}(\lambda\textrm{e}->\textrm{P}(\mp@subsup{\lambda}{}{-
            f
```


[^0]:    ${ }^{1}$ We use $\epsilon$ and $(\oplus)$ instead of mempty and mappend

[^1]:    ${ }^{2}$ The actual Foldable type class has more methods with default implementations which we elide for concision here.

[^2]:    ${ }^{3}$ We assume the standard hypothesis about type class laws and restricting to safe features.

[^3]:    $\overline{4}$ flip transforms a two arguments function to flip its argument.

[^4]:    ${ }^{5}$ It is common to refer as $P$ being the motive for the induction which is a form of elimination. As Conor McBride writes in [10] "we should give elimination a motive".
    ${ }^{6}$ The monoid laws are actually not used for this theorem.

[^5]:    ${ }^{7}$ Binary trees do not form a monoid with strict equality but our exploration functions do not require it either.

[^6]:    ${ }^{8}$ We use the notion of size only as an informal guide.

[^7]:    ${ }^{9}$ Here the coercion between $\mathcal{L}$ and $\mathbb{N}$ is silent.

[^8]:    ${ }^{10}$ We silently coerce $\rightarrow^{\mathrm{m}}$ to $\rightarrow$ and $2 \triangleright[0,1]$ is measuring the likelihood of getting $1_{2}$.

